

**Corporate Risk Register**

Risk			Assessment			
No.	Risk Area & Lead Officers	Risk Description	Likelihood	Impact	Risk Rating (2012 score)	Traffic Light
	CMT – Corporate Management Team CLT – Corporate Leadership Team					
1	<b>Workforce Planning &amp; Development</b>  CMT Lead – Cheryl Coppell CLT Lead – Caroline Nugent	Failure to develop and retain highly productive, fully competent and engaged staff, working within a fit for purpose and well integrated organisation, which will result in poor service delivery and inadequate outcomes for the community.	1/4	3/4	3/16  (6/16)	Amber
2	<b>Community Engagement &amp; Communications</b>  CMT Lead – Cheryl Coppell / Cynthia Griffin CLT Lead – Mark Leech	Failure of the council to effectively engage, involve and communicate with the residents of the Borough, which is crucial for the achievement of the Living Ambition objectives and the Council’s long-term financial sustainability.	2/4	3/4	6/16  (6/16)	Amber

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3a	<p><b>Causing harm to people we owe a duty of care</b></p> <p>CMT Lead – Joy Hollister CLT Lead – Kathy Bundred / Paul Grubic</p>	<p>The Council exists to provide services to the residents of the Borough and has a duty of care particularly to the vulnerable in society. These are legislative responsibilities. We are also challenged with empowering residents with the ability to make choices with regards their own care under the personalisation agenda, whilst retaining responsibility for ensuring that there choices are not detrimental to their welfare.</p>	2/4	3/4	6/16  (6/16)	Amber
3b	<p><b>Causing harm to people we owe a duty of care</b></p> <p>CMT Lead – Cheryl Coppell / Andrew Blake-Herbert CLT Lead – Caroline Nugent / Mark Butler</p>	<p>The Council has a morale and legislative responsibility to its people resources and those visiting its premises. Failure to ensure the duty of care is fulfilled at all times may lead to harm to an individual.</p>	1/4	3/4	3/16  (new - previously included within 3)	Amber

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4	<b>Business Growth &amp; Investment</b>  CMT lead – Cynthia Griffin CLT Lead – Nigel Young	Business growth is a major Council priority. Jobs and incomes are key determinants of wellbeing and quality of life for residents and employees in the borough. The strength of our business base will also directly affect the Council's future income following the reform of local government finance.	<b>2/4</b>	<b>3/4</b>	<b>6/16</b>  (9/16)	<b>Amber</b>
5	<b>Change Management</b>  CMT Lead – Cheryl Coppell CLT Lead – Caroline Nugent	Failure to manage the change that is occurring both externally and internally, in an efficient and effective manner, would mean that the organisation may fail / or would certainly struggle to achieve its objectives.	<b>2/4</b>	<b>4/4</b>	<b>8/16</b>  (6/16)	<b>Amber</b>

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6	<b>Business Continuity and Emergency Planning</b>  CMT Lead – Cynthia Griffin CLT Lead – Patrick Keyes / Geoff Connell	The Council must continue to operate and provide services to the community during incidents that impact on our ability to do so and the residents of the Borough will look to us to take appropriate action, working with partners, in an emergency.	1/4	3/4	3/16  (8/16)	Amber
7	<b>Partnerships, Shared Services &amp; Contractor Arrangements</b>  CMT Lead – Cheryl Coppell CLT Lead – CMT	Our supply chain is increasingly diverse, relationships with our suppliers or partnerships/working arrangements with other organisations are critical to service delivery and the broader achievement of our objectives.	3/4	3/4	9/16  (9/16)	Amber
8	<b>Financial Challenges</b>  CMT Lead - Andrew Blake -Herbert CLT Lead – Mike Stringer	The organisation faces a difficult future as changes to the way we are funded are implemented and reductions in funding available in some if not all areas occur.	2/4	4/4	8/16  (6/16)	Amber

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9	<b>Information Governance</b> CMT Lead – Ian Burns CLT Lead – Geoff Connell	The Council fails to fulfil its legislative and moral responsibility to maintain the security and confidentiality of data it holds relating to individuals and an information breach occurs.	3/4	4/4	12/16  (8/16)	Red
10	<b>New health responsibilities and the impact on social care</b>	The transfer of public health responsibilities to the Council, and changes to health commissioning (including GP clinical commissioning groups), will have major implications for the organisation, funding, procurement, and delivery of effective health and social care services.	2/4	3/4	6/16	Amber
11	<b>Impact of 2012 Games</b>	The Olympics provides a number of opportunities for the Council and Borough as a whole to exploit, it will also bring with it a number of impacts that will need to be managed during the period of the games.	3/4	3/4	9/16	Amber

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12	<b>Equalities &amp; Diversity</b> CMT Lead – Ian Burns CLT Lead – Caroline Nugent	Within society there are expectations for public bodies to lead the way and set the highest standards in equalities and diversity. These expectations are backed up by legislation (the Equality Act 2010 and, specifically, the Public Sector Equality Duty and) which requires us as an organisation to promote equalities and diversity and to avoid discrimination of every kind in the way it exercises all its functions.	<b>1/4</b>	<b>2/4</b>	<b>2/16</b>  (6/16)	<b>Green</b>
13	<b>One Oracle</b> CMT Lead – Andrew Blake-Herbert CLT Lead – Sarah Bryant	Project fails to deliver the outcomes required, in terms of cost, efficiency, quality of service delivery, value for money; future shared service and cost sharing opportunities.	<b>2/4</b>	<b>3/4</b>	<b>6/16</b>  (new)	<b>Amber</b>